

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: MATS LEIJON ET AL  
SERIAL NO: 09/509,438  
FILED: MARCH 28, 2000  
FOR: SYNCHRONOUS COMPENSATOR PLANT



GAU: 2834  
EXAMINER: ENAD

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

#10  
FD  
C. Maier  
2-9-01

REFERENCES

- ☒ The Applicant(s) wish to make of record the references listed on the attached form PTO-1449 which are relevant to the present case as well as related "bulk filing applications" cases, as discussed in Paper No. 11 Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98 (i.e., the "Response to Petition"). Because the references were cited by foreign examiners in a foreign case that corresponds with one of the U.S. "bulk filing applications", or were uncovered by the present assignee in the course of performing supplemental searches the references are believed to be relevant to the present application and bulk filing applications. Three copies of each of the listed references were provided in "holding application" Serial No. 09/147,325 filed February 17, 1999 to the Patent Office consistent with the requirements in the Response to Petition.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.



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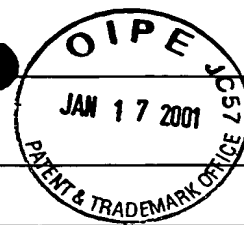
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<b>INFORMATION DISCLOSURE CITATION LIST</b> <b>ALTERNATE FORM PTO-1449</b> (additional to original listing)				Docket Number: 196975US6XPCT		Application Number 09/509,438	
				Applicant(s): MATS LEIJON ET AL			
				Filing Date: MARCH 28, 2000		Group Art Unit: 2834	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	1	US 1,508,456	9/16/24	W.G.Lenz			
	2	US 1,904,885	4/18/33	G.A.Seeley			
	3	US 2,409,893	10/22/46	W.W. Pendleton et al			
	4	US 2,650,350	8/25/53	P.D. Heath			
	5	US 2,749,456	06/05/56	F.O. Luenberger			
	6	US 3, 014, 139	12/19/61	L.P. Shildneck			
	7	US 3,197,723	7/27/65	I.K.Dortort			
	8	US 3,392,779	7/16/68	K.B. Tilbrook			
	9	US 3,411,027	11/12/68	H. Rosenberg			
	10	US 3,541,221	11/17/70	M.Aupoix et al			
	11	US 3,571,690	3/23/71	V V A V Lataisa			
	12	US 3,651,244	3/21/72	D.A. Silver et al			
	13	US 3,660,721	5/2/72	L.L.Baird			
	14	US 3,666,876	5/30/72	E.O.Forster			
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	17	US 3,743,867	7/3/73	J.L. Smith, Jr.			
	18	US 3,787,607	1/22/74	H.J.Schlaflly			
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	24	US 4,132,914	1/2/79	G.M. Khutoretsky			
	25	US 4,314,168	2/2/82	O. Breitenbach			
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	37	US 4,520,287	5/28/85	D.C.Wang et al			
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	44	US 4,732,412	3/22/88	R. D.A. van der Linden et al			
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**INFORMATION DISCLOSURE CITATION LIST  
ALTERNATE FORM PTO-1449**



FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	DE 209,313	4/25/84	Germany		
	2	DE 134,022	12/28/01	Germany		
	3	DE 1,465,719	5/22/69	Germany		
	4	DE 19,020,222	3/13/97	Germany		
	5	DE 19,620,906	1/8/96	Germany		
	6	DE 386,561	12/13/23	Germany		
	7	DE 3,925,337	2/7/91	Germany		
	8	DE 406,371	11/21/24	Germany		
	9	DE 4,402,184	8/3/95	Germany		
	10	DE 4,438,186	5/2/96	Germany		
	11	DE 975,999	1/10/63	Germany		
	12	EP 0,102,513	1/22/86	European		
	13	EP 0,185,788	7/2/86	European		
	14	EP 0,221,404	5/16/90	European		
	15	EP 0,503,817	9/16/92	European		
	16	EP 0,620,630	10/19/94	European		
	17	EP 0,739,087 A2	10/23/96	European		
	18	EP 0,739,087 A3	3/27/97	European		
	19	EP 0,749,193 A3	3/26/97	European		
	20	EP 0,749,190 A2	12/18/96	European		
	21	EP 0,913,912 A1	5/6/99	European		
	22	FR 2,481,531	10/30/81	France		
	23	FR 916,959	12/20/46	France		
	24	EP 0,221,404	5/16/90	European		
	25	EP 0,277,358	8/10/86	European		
	26	EP 0,469,155 A1	2/5/92	European		
	27	GB 2,150,153	6/26/85	United Kingdom		
	28	GB 2,332,557	6/23/99	United Kingdom		
	29	DE 468,827	7/13/97	Germany		
	30	GB 666,883	2/20/52	United Kingdom		
	31	GB 739,962	11/2/55	United Kingdom		
	32	HU 175,494	11/28/81	Hungary		
	33	JP 2,017,474	1/22/90	Japan		
	34	JP 57,126,117	5/8/82	Japan		
	35	JP 62,320,631	6/23/89	Japan		
	36	JP 7,161,270	6/23/95	Japan		
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	45	WO 97/29494	8/14/97	PCT		
	46	WO 98/40627	9/17/98	PCT		
	47	WO 98/43336	10/1/98	PCT		
	48	PCT/DE 90/00279	11/27/90	Int'l Search Report		

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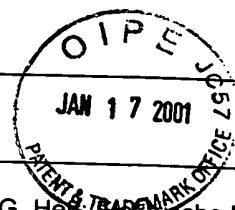


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11	OD 054	Transformateurs a courant continu haute tension-examen des specifications; A. Lindroth et al; <i>Electra</i> No 141, 04/1992, pp 34-39
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13	OD 056	Verification of Limiter Performance in Modern Excitation Control Systems; G. K. Girgis et al; IEEE Energy Conservation, Vol. 10, No. 3, 09/1995, pp 538-542
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22	OD 065	Canadians Create Conductive Concrete; J. Beaudoin et al; <i>Science</i> , Vol. 276, 05/23/1997, pp 1201
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 ( Corrected Listing of Original List )



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29	OD 073	Elektriska Maskiner; F. Gustavson; Institute for Elkraftteknik, KTH; Stockholm, 1996, pp 3-6 - 3-12
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